

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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Principal Funds, Inc.; PFI Bond & Mortgage	:
Securities Fund; PFI Bond Market Index Fund; PFI	:
Core Plus Bond I Fund; PFI Diversified Real Asset	:
Fund; PFI Equity Income Fund; PFI Global	: MASTER FILE NO. 11-MD-2262-NRB
Diversified Income Fund; PFI Government & High	:
Quality Bond Fund; PFI High Yield Fund; PFI High	: CASE NO. 13-CV-6013-NRB
Yield Fund I; PFI Income Fund; PFI Inflation	:
Protection Fund; PFI Short-Term Income Fund; PFI	: DEFENDANT ICAP PLC'S RULE 7.1
Money Market Fund; PFI Preferred Securities Fund;	: <u>DISCLOSURE STATEMENT</u>
Principal Variable Contracts Funds, Inc.; PVC Asset	:
Allocation Account; PVC Money Market Account;	:
PVC Balanced Account; PVC Bond & Mortgage	:
Securities Account; PVC Equity Income Account;	:
PVC Government & High Quality Bond Account;	:
PVC Income Account; and PVC Short-Term Income	:
Account;	:
	:
Plaintiffs,	:
	:
v.	:
	:
Bank of America Corporation; Bank of America,	:
N.A.; Bank of Tokyo-Mitsubishi UJF Ltd.; Barclays	:
Bank PLC; British Bankers' Association; BBA	:
Enterprises, Ltd.; BBA Libor, Ltd.; Citigroup, Inc.;	:
Citibank, N.A.; Coöperatieve Centrale Raiffseisen-	:
Boerenleenbank, B.A.; Credit Suisse Group AG;	:
Deutsche Bank AG; HBOS PLC; HSBC Holdings	:
PLC; HSBC Bank PLC; ICAP PLC; JPMorgan	:
Chase & Co.; JPMorgan Chase Bank, N.A.; Lloyds	:
Banking Group PLC; The Norinchukin Bank;	:
Portigon AG; Royal Bank of Canada; The Royal	:
Bank of Scotland Group PLC; R.P. Martin Holdings	:
Ltd.; Société Générale, S.A.; Tullett Prebon PLC;	:
UBS AG; WestLB AG; and Westdeutsche	:
ImmobilienBank AG;	:
	:
Defendants.	:
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Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, the undersigned counsel of record for Defendant ICAP plc hereby states as follows:

ICAP plc is a public limited company that has no parent organization. Schroders plc, a public limited company, owns greater than 10% of ICAP plc's stock. ICAP plc makes the preceding disclosure without prejudice to its right to contest personal jurisdiction in the above-captioned action.

Dated: New York, New York
October 3, 2014

RICHARDS KIBBE & ORBE LLP

By: 

Brian S. Fraser (bfraser@rkollp.com)
Shari A. Brandt (sbrandt@rkollp.com)
H. Rowan Gaither (rgaither@rkollp.com)
200 Liberty Street
New York, NY 10281-1003
Telephone: 212.530.1800
Facsimile: 212.530.1801

Attorneys for Defendant ICAP plc